

Docket No.: 08803-023

03807 U.S. PTO  
10/629602  
07/30/03

UTILITY PATENT APPLICATION  
UNDER 37 CFR 1.53(b)

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Sir:

Transmitted herewith for filing is the patent application of:

INVENTOR: Kristian J. HAMMOND, Jerome L. BUDZIK, Lawrence BIRNBAUM  
FOR: AUTOMATED METHOD AND SYSTEM FOR FORMULATING AND  
TRANSFORMING REPRESENTATIONS OF CONTEXT USED BY  
INFORMATION SERVICES

Enclosed are:

- ☒ 56 pages of specification, claims, abstract.
- ☒ Declaration and Power of Attorney.
- ☐ Priority Claimed.
- ☐ Certified copy of \_\_\_\_\_
- ☒ 2 sheets of formal drawing.
- ☒ An assignment of the invention to NORTHWESTERN UNIVERSITY  
and the assignment recordation fee.
- ☐ An associate power of attorney.
- ☒ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- ☒ Information Disclosure Statement, Form PTO-1449 and reference.
- ☒ Return Receipt Postcard
- ☒ Applicant is entitled to claim **Small Entity** status.

The filing fee has been calculated as shown below:

|                             | NO. OF<br>CLAIMS |     | EXTRA<br>CLAIMS | RATE    | AMOUNT     |
|-----------------------------|------------------|-----|-----------------|---------|------------|
| Total Claims                | 32               | -20 | 12              | \$18.00 | \$216.00   |
| Independent Claims          | 4                | -3  | 1               | \$84.00 | \$84.00    |
| Multiple Dependent Claim(s) |                  |     |                 |         | \$0.00     |
| Basic Fee                   |                  |     |                 |         | \$750.00   |
| Total of Above Calculations |                  |     |                 |         | \$1,050.00 |
| Less ½ for Small Entity     |                  |     |                 |         | \$525.00   |
| Assignment & Recording Fee  |                  |     |                 |         | \$40.00    |
| Total Fee                   |                  |     |                 |         | \$565.00   |

- ☒ Please charge my Deposit Account No. 500417 in the amount of \$565.00. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 500417 . A duplicate copy is enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 500417. A duplicate copy of this sheet is enclosed.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

*Wei-Chen Chen.*

Wei-Chen Chen

Recognition Under 37 CFR §10.9(b)

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
(202) 756-8000 WC:km  
Facsimile: (202) 756-8087  
CUSTOMER NUMBER 20277  
**Date: July 30, 2003**